





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Robert FARNAN

Serial No.: 10749,658

Filed: December 31, 2003

Art Unit: 3763

Examiner:

For: Drug Delivery Balloon Catheter

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## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §1.97, as revised on February 4, 1992, 1135 OG 23-24, Applicant hereby calls the Examiner's attention to documents listed on the attached form, which documents may be material to the examination of this application. As each of the cited documents is a U.S. patent, a copy of the references is not submitted herewith. If the Examiner needs a copy, it is requested that the Examiner contact the undersigned.

No inference should be drawn that the attached list sets forth a comprehensive investigation of the prior art, that any or all are pertinent to the invention, or that any apparatus disclosed is equivalent to the subject invention.

The citation of the above-discussed documents is not to be construed as an assertion that more pertinent art could not possibly be in existence. Citation of any document herein is not to be construed as an admission that any subject matter disclosed in the document is necessarily within the inventive field of endeavor, that any disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that any disclosure is otherwise necessarily prior art with respect to the instant invention.

Applicant also respectfully reserves the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosure of those documents discussed herein, that may be cited by the Examiner in rejecting a claim in the instant patent application.

These references were cited in a PCT search report (enclosed) in a related application within the last three months (i.e. on June 9, 2004). Further, a first office action or notice of allowance has not issued in this application. Therefore, no fee is believed necessary for this IDS.

Please charge our Deposit Account No. 50/1039 for any fee due for this IDS.

Respectfully submitted,

Mark J. Murrhy

Registration No.: 34,225

COOK, ALEX, McFARRON, MANZO, CUMMINGS & MEHLER, LTD. 200 West Adams Street; Suite 2850 Chicago, Illinois 60606 (312) 236-8500 I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: the Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on ne 1787004 PTO/SB/08A (08-03) Stornon Wallace Approved for use through 07/31/2006. OMB 0651-0031 non Wallen U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO Application Number 10/749,658 Filing Date December 31, 2003 INFORMATION DISCLOSURE Robert Farnan First Named Inventor STATEMENT BY APPLICANT Art Unit 3763 (Use as many sheets as necessary) **Examiner Name** Attorney Docket Number 0341-0054.01 Sheet |1

1

Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or	Pages, Columns, Lines, Where	
				Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
		Number-Kind Code <sup>2 (fluo wn)</sup>			Figures Appear	
	1	<sup>US-</sup> 5,286,254	02-15-1994	Shapland et al.		
	2	<sup>US-</sup> 5,318,531	06-07-1994	Leone		
	3	<sup>US-</sup> 5,681,281	10-28-1997	Vigil et al.		
	4	<sup>US-</sup> 5,536,250	07-16-1996	Klein et al.		
	5	<sup>US-</sup> 5,876,426	03-02-1999	Kume et al.		
	6	<sup>US-</sup> 5,985,307	11-16-1999	Hanson et al.		
	7	<sup>US-</sup> 6,364,856	04-02-2002	Ding et al.		
	8	<sup>US-</sup> 6,544,221	04-08-2003	Kokish et al.		
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		FORE	FOREIGN PATENT DOCUMENTS  Decument Publication Name of Patentee or Pages Columns Lines			
Examiner Initials*	Cite No.1	Foreign PatentD ocument	Publication Date	Name of Patentee or Applicant of Cited Document	Pages,C olumns, Lines, Where Relevant Passages Or Relevant Figures Appear	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO	Application Number	10/749,658
INFORMATION DISCLOSURE	Filing Date	December 31, 2003
STATEMENT BY APPLICANT	First Named Inventor	Robert Farnan
SIAILMENT BITTE	Art Unit	3763
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Short 2 of 2	Attorney Docket Number	0341-0054.01
Sheet 2 of 2		

	T	OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the article (when a	T <sup>2</sup>
xaminer nitials*	Cite No.1	the item (book, magazine, journal, sonial, sonial, sonial, where published.	 
	<del> </del>	number(s), publisher, city and/or country where passiones.  International Search Report on International application No. PCT/US03/41823; dated	}
	9	June 9, 2004.	
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Date Examiner Considered Signature

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